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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Jiangchun Xu et al.

Application No.

09/649,811

Filed

August 28, 2000

For

COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS OF

COLON CANCER AND METHODS FOR THEIR USE

Docket No.

: 210121.471C11

Assistant Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right. to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

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FORM PTO-1449 (REV.7-80) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. APPLICATION NO. 210121.471C11 09/649,811

APPLICANTS INFORMATION DISCLOSURE STATEMENT Jiangchun Xu et al. (Use several sheets if necessary) FILING DATE **GROUP ART UNIT** August 28, 2000 Unknown **U.S. PATENT DOCUMENTS** *EXAMINER DOCUMENT NUMBER DATE NAME CLASS **SUBCLASS** FILING DATE INITIAL IF APPROPRIATE 2 800 5,986,170 11/16/99 Subjeck 5,861,494 01/19/99 536 23.1 Soppet et al. FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS SUBCLASS TRANSLATION NUMBER YES WO 99/63088 WIPO 12/09/99 AC **WIPO** WO 99/60161 11/25/99 ΑD WO 99/01020 01/14/99 WIPO ΑE WO 98/53319 11/26/98 WIPO ΑF WO 98/51325 11/19/98 WIPO AG WO 98/16640 04/23/98 WIPO ΑH WO 98/11217 03/19/98 **WIPO** Αl WO 96/39541 WIPO 12/12/96 ΑJ WO 96/35717 11/14/96 WIPO ΑK OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) Chan et al., "Identification of novel genes that are differentially expressed in human ΑL colorectal carcinoma," Biochimica et Biophysica Acta, 1407: 200-204, 1998. Dupuy et al., "Purification of a novel flavoprotein involved in the thyroid NADPH AM oxidase," The Journal of Biological Chemistry, 274(52):37265-37269, December 24, 1999. Frigerio et al., "Analysis of 2166 clones from a human colorectal cancer cDNA library by AN partial sequencing," Human Molecular Genetics, 4(1):37-43, 1995. Gelos et al., "Detection of genes differentially expressed in colorectal cancer: comparison of ΑO three methods," 2nd Congress of Molecular Medicine; Berlin, Germany, 76(6):B13, May, 1998. **EXAMINER** DATE CONSIDERED

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Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applican(s).

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO. (REV.7-80) PATENT AND TRADEMARK OFFICE 210121.471C11 09/649.811 APPLICANTS INFORMATION DISCLOSURE STATEMENT Jiangchun Xu et al. (Use several sheets if necessary) FILING DATE GROUP ART UNIT August 28, 2000 Unknown **U.S. PATENT DOCUMENTS** DATE SUBCLASS *EXAMINER DOCUMENT NUMBER NAME CLASS FILING DATE INITIAL IF APPROPRIATE BA FOREIGN PATENT DOCUMENTS DATE COUNTRY SUBCLASS TRANSLATION DOCUMENT CLASS NUMBER YES NO BB BC BD BE OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) Grimm and Johnson, "A modified screening method for pcDNA-1 expression libraries BF which is applicable to both surface and intracellular antigens Cloning of a colon carcinoma antigen," Journal of Immunological Methods, 186:305-312, 1995. Tortola et al., "Analysis of differential gene expression in human colorectal tumor tissue by BG RNA arbitrarily primed-PCR: a technical assessment," Laboratory Investigation, 78(3):309-317, March, 1998. Yeatman and Mao, "Identification of a differentially-expressed message associated with вн colon cancer liver metastasis using an improved method of differential display," Nucleic Acid Research, 23(19):4007-4008, March, 1998. GenBank Accession No. AA366895, "Initial assessment of human gene diversity and ВI expression patterns based upon 83 million basepairs of cDNA sequence," located at http://www.ncbi.nlm.nih.gov. GenBank Accession No. AF097021, "Identification and characterization of novel full-length BJ cDNAs differentially expressed in human hematopoietic lineages," located at http://www.ncbi.nlm.nih.gov. BK

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